

### 4300 Department of Developmental Services

The Department of Developmental Services is responsible under the Lanterman Developmental Disabilities Services Act for providing persons with developmental disabilities the services and supports they need to lead more independent and productive lives and to make choices and decisions about their lives. The Department, through the 21 private, nonprofit regional centers, oversees coordination of services to persons with developmental disabilities; provides that such services are planned, provided, and meet the needs and choices of these individuals at each stage of their lives; and, to the extent possible, accomplishes these goals in the individual's home community.

The Department sets broad policy and provides leadership for developmental services statewide; establishes priorities, standards, and procedures within which the developmental services program operates; monitors, reviews, and evaluates service delivery; and helps to remediate problems that arise. Services are delivered directly through developmental centers and a state-operated community facility, and under contract with a statewide network of 21 private, nonprofit, locally-based community agencies known as regional centers.

The Department's goals are to:

- Maintain or develop systems of services and supports that are provided to individuals and their families.
- Facilitate the dissemination of information to improve services and supports and the lives of people with developmental disabilities.
- Oversee Department, state developmental center, regional center, and service provider compliance with all applicable federal and state laws, regulations and contracts, including accounting for their funding in an appropriate manner.

Given that Department programs drive the need for infrastructure investment, the department has a related capital outlay program to support this need. For the specifics on the Department of Developmental Services' Capital Outlay Program see "Infrastructure Overview."

### 3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
4140	Community Services Program	66.0	90.4	107.4	\$4,908,984	\$5,317,727	\$6,135,284
4145	Developmental Centers Program	3,774.7	4,161.9	4,015.3	526,471	586,357	510,908
4150	Department of Justice Legal Services Program	-	-	-	112	112	112
9900100	Administration	240.5	240.5	240.5	28,876	30,601	30,924
9900200	Administration - Distributed	-	-	-	-28,876	-30,601	-30,924
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>4,081.2</b>	<b>4,492.8</b>	<b>4,363.2</b>	<b>\$5,435,567</b>	<b>\$5,904,196</b>	<b>\$6,646,304</b>
<b>FUNDING</b>					<b>2014-15*</b>	<b>2015-16*</b>	<b>2016-17*</b>
0001	General Fund				\$3,127,287	\$3,475,447	\$3,968,468
0001	General Fund, Proposition 98				5,159	5,286	5,020
0172	Developmental Disabilities Program Development Fund				1,031	3,090	2,862
0496	Developmental Disabilities Services Account				-	150	150
0814	California State Lottery Education Fund				367	343	343
0890	Federal Trust Fund				69,702	54,200	54,163
0995	Reimbursements				2,230,841	2,364,458	2,614,120
3085	Mental Health Services Fund				1,180	1,222	1,178
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>					<b>\$5,435,567</b>	<b>\$5,904,196</b>	<b>\$6,646,304</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Welfare and Institutions Code, Divisions 4.1, 4.5, 6, and 7, commencing with Section 4400; Government Code, Title 14, commencing with Section 95000; and Health and Safety Code, Division 1, commencing with Section 416.

#### PROGRAM AUTHORITY

4140-Community Services Program:

Welfare and Institutions Code, Divisions 4.1, 4.5, 6, and 7, commencing with Section 4400; Government Code, Title 14, commencing with Section 95000.

4145-Developmental Centers Program:

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 4300 Department of Developmental Services - Continued

Welfare and Institutions Code, Division 4.1, 4.5, 6, and 7.

### MAJOR PROGRAM CHANGES

- Community Services-The Budget includes augmentations totaling \$337 million General Fund between targeted investments in the developmental services system (\$50 million) and the additional funding provided in Chapter 3, Statutes of 2016, Second Extraordinary Session (ABx2 1) (\$287 million).
- Minimum Wage-The Budget includes an increase of \$12 million General Fund to reflect the impact on providers of the 50-cent increase in the state minimum hourly wage, effective January 1, 2017.
- Developmental Center Closures-The Budget provides funding and authority to: (1) extend special managed care provisions to Medi-Cal eligible individuals that are transitioning from developmental centers into the community; (2) provide an exemption to allow developmental center employees working at facilities slated for closure to go through the process of becoming community-based service providers; and (3) provide retention incentives for developmental center staff during the closure process to maintain services during the transition.

### DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• ABX2 1- Purchase of Services Increases	\$-	\$-	-	\$244,400	\$-	-
• Caseload-Purchase of Services	-32,142	27,131	-	130,570	82,543	-
• Developmental Services Rates and Regional Center Operations	-	-	-	50,000	28,000	-
• ABX2 1- Regional Center Operations	-	-	-	42,600	-	-
• Minimum Wage Increase Effective January 1, 2016-Purchase of Services	-	-	-	35,043	27,314	-
• Community Placement Plan Funding-Purchase of Services	-	-	-	24,123	2,514	-
• Caseload-Operations	4,292	-2,640	-	17,262	5,933	-
• SB 3 Minimum Wage Increase	-	-	-	12,001	9,244	-
• Federal Labor Regulations-Purchase of Services	-3,894	-3,327	-	9,935	8,491	-
• ABX2 1 Administrative Resources	-	-	-	6,063	1,441	-
• Community Placement Plan Funding-Operations	-	-	-	4,134	-	-
• Revised expenditure authority per Provision 3	42,537	-	-	3,800	-	-
• Sonoma Developmental Center Site Assessment	-	-	-	2,240	-	-
• Sonoma Developmental Center Closure Costs	-	-	-	1,928	1,085	-
• Replace Personal Alarm Location System-Porterville Developmental Center	-	-	-	1,858	-	-
• Developmental Center Closure Activities	-	-	-	1,796	313	8.0
• Acute Crisis Units-Sonoma Developmental Center Full Year Adjustment	-	-	-	1,425	-434	14.4
• Independent Monitoring Contract for Fairview and Porterville Developmental Centers	-	-	-	1,164	736	-
• Regional Center Purchase of Services Augmentation	-	-	-	1,000	-	-
• Developmental Center Worker's Compensation Cases	-	-	-	962	-3,306	-
• Fiscal and Program Research Unit	-	-	-	930	293	7.0
• Regional Center Operations Home and Community-Based Services-New Regulations Workload	-	-	-	900	700	-
• Increased Vendor Audit Coverage	-	-	-	650	302	7.0

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**4300 Department of Developmental Services - Continued**

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Headquarters Resources to Provide Oversight and Guidance of ABX2 1 Implementation	-	-	-	513	239	5.0
• Behavioral Health Treatment Caseload Adjustment	-1,468	-	-	352	-	-
• Home and Community-Based Services-New Regulations Workload	-	-	-	330	153	4.0
• ABX2 1 Purchase of Services Increases	-	-	-	-	172,200	-
• ABX2 1 Regional Center Operations	-	-	-	-	14,000	-
• Behavioral Health Treatment- Transition to Fee-For-Service	-	-	-	-	12,171	-
• Behavioral Health Treatment Caseload Adjustment	-	-1,468	-	-	352	-
• Developmental Centers-Home and Community-Based Services Adjustment	846	-846	-	-	-	-
• Revised expenditure authority per Provision 1	-42,537	-	-	-	-	-
• Expiring Federal Grant	-	-	-	-	-143	-
• General Fund for Sonoma Developmental Center Decertified Intermediate Care Facility Units	-	-	-	-	-32,400	-
• Behavioral Health Treatment-Purchase of Services	-	-	-	-2,240	-2,241	-
• AB 1522 Paid Sick Leave	-4,254	-3,270	-	-3,571	-2,746	-
• Developmental Center Audit Findings	-	-	-	-3,800	-	-
• Level of Care and Non-Level of Care at Developmental Centers-Staffing Adjustments	-	-	38.8	-4,882	-3,873	-129.2
• Behavioral Health Treatment- Transition to Fee-for-Service	-	-	-	-6,085	-	-
• Behavioral Health Treatment-Transition to Managed Care Plans	-13,432	-13,433	-	-69,720	-71,497	-
<b>Totals, Workload Budget Change Proposals</b>	<b>-\$50,052</b>	<b>\$2,147</b>	<b>38.8</b>	<b>\$505,681</b>	<b>\$251,384</b>	<b>-83.8</b>
<b>Other Workload Budget Adjustments</b>						
• Expenditure by Category Redistribution	\$4,392	\$2,451	-	\$6,795	\$3,897	-
• Section 6.10 deferred maintenance adjustment	1,600	-	-	-	-	-
• Limited-Term Positions/Expiring Programs	-	-	-	-650	-302	-7.0
• Remove One-time Funding	-	-	-	-61,554	-	-
• Salary Adjustments	5,134	2,665	-	5,173	2,716	-
• Benefit Adjustments	2,753	1,380	-	3,539	1,754	-
• Retirement Rate Adjustments	1,455	739	-	1,455	739	-
• SWCAP	-	-	-	-	-37	-
• Pro Rata	-	-	-	-	-77	-
• Lease Revenue Debt Service Adjustment	-17	-1	-	-16	-1	-
• Budget Position Transparency	-4,392	-2,451	-183.5	-6,795	-3,897	-183.5
• Miscellaneous Baseline Adjustments	-	-24	-	-8,995	-4,114	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$10,925</b>	<b>\$4,759</b>	<b>-183.5</b>	<b>-\$61,048</b>	<b>\$678</b>	<b>-190.5</b>
<b>Totals, Workload Budget Adjustments</b>	<b>-\$39,127</b>	<b>\$6,906</b>	<b>-144.7</b>	<b>\$444,633</b>	<b>\$252,062</b>	<b>-274.3</b>
<b>Totals, Budget Adjustments</b>	<b>-\$39,127</b>	<b>\$6,906</b>	<b>-144.7</b>	<b>\$444,633</b>	<b>\$252,062</b>	<b>-274.3</b>

**PROGRAM DESCRIPTIONS****4140 - COMMUNITY SERVICES PROGRAM**

Through the network of regional centers, the Department supports the development and maintenance of services for eligible persons with developmental disabilities who reside in the community. The regional centers directly provide or coordinate the

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## 4300 Department of Developmental Services - Continued

following services and supports: (1) information and referral, (2) assessment and diagnosis, (3) counseling, (4) lifelong individualized planning and service coordination, (5) purchase of necessary services included in the individual program plan, (6) assistance in finding and using community and other resources, (7) advocacy for the protection of legal, civil, and service rights, (8) early intervention services for infants and their families, (9) family support, (10) planning, placement, and monitoring for 24-hour out-of-home care, (11) training and educational opportunities for individuals and families, (12) community education about developmental disabilities, and (13) habilitation services. The needs of individuals who reside in state-operated facilities are assessed and community resources are developed to assist those who can appropriately transition to the community.

The Department monitors regional centers to ensure they operate in accordance with statute, regulations, and their contract with the Department.

### 4145 - DEVELOPMENTAL CENTERS PROGRAM

The Department operates three developmental centers: Fairview (Orange County), Porterville (Tulare County), and Sonoma (Sonoma County). Secure treatment services are provided at Porterville Developmental Center. In addition, the Department leases one small community facility for persons who require specialized behavioral interventions: Canyon Springs, a 63-bed facility in Cathedral City. The developmental centers are licensed as General Acute Care Hospitals with distinct parts for skilled nursing care and intermediate care. Canyon Springs operates as an intermediate care facility only. Services at all facilities involve the provision of active treatment through residential and day programs on a 24-hour basis, including appropriate medical and dental care, health maintenance activities, and assistance with activities of daily living, training, education, and employment.

The primary objectives of the Developmental Centers Program include providing care, treatment, and habilitation services to residents based upon assessed need and as outlined in each person's Individual Program Plan. These services are offered in the most efficient, effective, and least restrictive manner to all individuals referred by the regional centers and/or the judicial system; and are designed to teach individuals skills for increased independence, provide for preservation or improvement of health and welfare, and enhance personal competence in all areas of daily living.

The Developmental Centers Division provides central administrative and clinical management services to the three developmental centers and the leased small community facility to ensure the quality of services provided, compliance with state licensing and federal certification requirements, protection of consumers and staff, and maintenance of facility structures and grounds. Areas of responsibility include the development of policy and procedures for all aspects of the developmental centers operations, law enforcement and protective services, facility population management, program and fiscal oversight, and facilities planning and support.

The Department has initiated closure planning for the remaining developmental centers. Sonoma Developmental Center is scheduled to close by the end of 2018, with Fairview Developmental Center and the non-secure treatment portion of Porterville Developmental Center planned for closure by 2021.

### 9900 - DEPARTMENTAL ADMINISTRATION

The objective of this program is to provide to the Department (1) overall management, planning and policy development, legal, legislative, audit, and administrative services, and (2) revenue and reimbursement collections from federal and state programs, insurance companies, and private payers for the cost of services.

### DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
	<b>PROGRAM REQUIREMENTS</b>			
<b>4140</b>	<b>COMMUNITY SERVICES PROGRAM</b>			
	<b>State Operations:</b>			
0001	General Fund	\$16,043	\$18,131	\$21,137
0172	Developmental Disabilities Program Development Fund	275	357	325
0890	Federal Trust Fund	2,338	2,561	2,524
0995	Reimbursements	7,699	8,054	8,787
3085	Mental Health Services Fund	440	482	438
	<b>Totals, State Operations</b>	<b>\$26,795</b>	<b>\$29,585</b>	<b>\$33,211</b>
	<b>Local Assistance:</b>			
0001	General Fund	\$2,795,514	\$3,105,399	\$3,633,201
0172	Developmental Disabilities Program Development Fund	756	2,733	2,537
0496	Developmental Disabilities Services Account	-	150	150
0890	Federal Trust Fund	67,197	51,354	51,354

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**4300 Department of Developmental Services - Continued**

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
0995	Reimbursements	2,017,982	2,127,766	2,414,091
3085	Mental Health Services Fund	<u>740</u>	<u>740</u>	<u>740</u>
	<b>Totals, Local Assistance</b>	<b>\$4,882,189</b>	<b>\$5,288,142</b>	<b>\$6,102,073</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>4140015</b>	<b>Operations</b>			
	<b>Local Assistance:</b>			
0001	General Fund	\$400,992	\$428,328	\$507,995
0496	Developmental Disabilities Services Account	-	150	150
0890	Federal Trust Fund	738	812	812
0995	Reimbursements	187,490	190,135	218,849
3085	Mental Health Services Fund	<u>740</u>	<u>740</u>	<u>740</u>
	<b>Totals, Local Assistance</b>	<b>\$589,960</b>	<b>\$620,165</b>	<b>\$728,546</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>4140019</b>	<b>Purchase of Services</b>			
	<b>Local Assistance:</b>			
0001	General Fund	\$2,392,519	\$2,675,068	\$3,123,203
0172	Developmental Disabilities Program Development Fund	756	2,733	2,537
0890	Federal Trust Fund	47,350	31,433	31,433
0995	Reimbursements	<u>1,830,151</u>	<u>1,937,488</u>	<u>2,195,242</u>
	<b>Totals, Local Assistance</b>	<b>\$4,270,776</b>	<b>\$4,646,722</b>	<b>\$5,352,415</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>4140023</b>	<b>Community Services Division</b>			
	<b>State Operations:</b>			
0001	General Fund	\$16,043	\$18,131	\$21,137
0172	Developmental Disabilities Program Development Fund	275	357	325
0890	Federal Trust Fund	2,338	2,561	2,524
0995	Reimbursements	7,699	8,054	8,787
3085	Mental Health Services Fund	<u>440</u>	<u>482</u>	<u>438</u>
	<b>Totals, State Operations</b>	<b>\$26,795</b>	<b>\$29,585</b>	<b>\$33,211</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>4140027</b>	<b>Early Intervention Program</b>			
	<b>Local Assistance:</b>			
0890	Federal Trust Fund	19,109	19,109	19,109
0995	Reimbursements	<u>341</u>	<u>143</u>	<u>-</u>
	<b>Totals, Local Assistance</b>	<b>\$19,450</b>	<b>\$19,252</b>	<b>\$19,109</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>4140031</b>	<b>Early Start Family Resource Services</b>			
	<b>Local Assistance:</b>			
0001	General Fund	<u>\$2,003</u>	<u>\$2,003</u>	<u>\$2,003</u>
	<b>Totals, Local Assistance</b>	<b>\$2,003</b>	<b>\$2,003</b>	<b>\$2,003</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>4145</b>	<b>DEVELOPMENTAL CENTERS PROGRAM</b>			
	<b>State Operations:</b>			
0001	General Fund	\$320,777	\$357,091	\$319,038
0814	California State Lottery Education Fund	367	343	343
0890	Federal Trust Fund	167	285	285

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**4300 Department of Developmental Services - Continued**

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
0995	Reimbursements	<u>205,160</u>	<u>228,638</u>	<u>191,242</u>
	<b>Totals, State Operations</b>	<b>\$526,471</b>	<b>\$586,357</b>	<b>\$510,908</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>4145010</b>	<b>AB 1202 Contracts</b>			
	<b>State Operations:</b>			
0001	General Fund	<u>\$642</u>	<u>\$642</u>	<u>\$642</u>
	<b>Totals, State Operations</b>	<b>\$642</b>	<b>\$642</b>	<b>\$642</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>4145019</b>	<b>Medi-Cal Eligible Services</b>			
	<b>State Operations:</b>			
0001	General Fund	\$4,517	\$4,662	\$4,378
0995	Reimbursements	<u>1,093</u>	<u>1,042</u>	<u>799</u>
	<b>Totals, State Operations</b>	<b>\$5,610</b>	<b>\$5,704</b>	<b>\$5,177</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>4145028</b>	<b>Developmental Centers Policy, Management, and Oversight</b>			
	<b>State Operations:</b>			
0001	General Fund	\$10,867	\$11,614	\$12,202
0995	Reimbursements	<u>4,417</u>	<u>4,707</u>	<u>5,136</u>
	<b>Totals, State Operations</b>	<b>\$15,284</b>	<b>\$16,321</b>	<b>\$17,338</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>4145037</b>	<b>Rental Payments on Lease Revenue Bonds</b>			
	<b>State Operations:</b>			
0001	General Fund	<u>\$9,887</u>	<u>\$10,174</u>	<u>\$10,175</u>
	<b>Totals, State Operations</b>	<b>\$9,887</b>	<b>\$10,174</b>	<b>\$10,175</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>4145046</b>	<b>Developmental Centers and Community Facility Services</b>			
	<b>State Operations:</b>			
0001	General Fund	\$294,630	\$329,748	\$291,390
0890	Federal Trust Fund	167	285	285
0995	Reimbursements	<u>199,502</u>	<u>222,726</u>	<u>185,144</u>
	<b>Totals, State Operations</b>	<b>\$494,299</b>	<b>\$552,759</b>	<b>\$476,819</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>4145055</b>	<b>Implementation of Health Insurance Portability and Accountability Act</b>			
	<b>State Operations:</b>			
0001	General Fund	\$234	\$251	\$251
0995	Reimbursements	<u>148</u>	<u>163</u>	<u>163</u>
	<b>Totals, State Operations</b>	<b>\$382</b>	<b>\$414</b>	<b>\$414</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>4145064</b>	<b>Training Programs to Establish Curriculum</b>			
	<b>State Operations:</b>			
0814	California State Lottery Education Fund	<u>\$367</u>	<u>\$343</u>	<u>\$343</u>
	<b>Totals, State Operations</b>	<b>\$367</b>	<b>\$343</b>	<b>\$343</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>4150</b>	<b>DEPARTMENT OF JUSTICE LEGAL SERVICES PROGRAM</b>			

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**4300 Department of Developmental Services - Continued**

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
	<b>State Operations:</b>			
0001	General Fund	\$112	\$112	\$112
	<b>Totals, State Operations</b>	<b>\$112</b>	<b>\$112</b>	<b>\$112</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>9900100</b>	<b>Administration</b>			
	<b>State Operations:</b>			
0001	General Fund	\$28,681	\$29,174	\$26,865
0995	Reimbursements	195	1,427	4,059
	<b>Totals, State Operations</b>	<b>\$28,876</b>	<b>\$30,601</b>	<b>\$30,924</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>9900200</b>	<b>Administration - Distributed</b>			
	<b>State Operations:</b>			
0001	General Fund	-\$28,681	-\$29,174	-\$26,865
0995	Reimbursements	-195	-1,427	-4,059
	<b>Totals, State Operations</b>	<b>-\$28,876</b>	<b>-\$30,601</b>	<b>-\$30,924</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	553,378	616,054	544,231
	Local Assistance	4,882,189	5,288,142	6,102,073
	<b>Totals, Expenditures</b>	<b>\$5,435,567</b>	<b>\$5,904,196</b>	<b>\$6,646,304</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PERSONAL SERVICES						
Baseline Positions	4,997.5	4,637.5	4,637.5	\$350,844	\$336,307	\$336,307
Budget Position Transparency	-	-183.5	-183.5	-	-6,843	-10,692
Total Adjustments	-916.3	38.8	-90.8	-61,635	7,795	-15,219
<b>Net Totals, Salaries and Wages</b>	<b>4,081.2</b>	<b>4,492.8</b>	<b>4,363.2</b>	<b>\$289,209</b>	<b>\$337,259</b>	<b>\$310,396</b>
Staff Benefits	-	-	-	156,597	163,432	150,082
<b>Totals, Personal Services</b>	<b>4,081.2</b>	<b>4,492.8</b>	<b>4,363.2</b>	<b>\$445,806</b>	<b>\$500,691</b>	<b>\$460,478</b>
OPERATING EXPENSES AND EQUIPMENT				\$107,522	\$72,826	\$83,753
SPECIAL ITEMS OF EXPENSES				50	42,537	-
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$553,378</b>	<b>\$616,054</b>	<b>\$544,231</b>

**2 Local Assistance**

	<u>Expenditures</u>		
	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Grants and Subventions - Governmental	\$4,882,189	\$5,288,142	\$6,102,073
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$4,882,189</b>	<b>\$5,288,142</b>	<b>\$6,102,073</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
0001 General Fund, Proposition 98			
APPROPRIATIONS			
004 Budget Act appropriation (Developmental Centers)	\$5,195	\$5,174	\$5,020

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**4300 Department of Developmental Services - Continued**

<b>1 STATE OPERATIONS</b>	<b>2014-15*</b>	<b>2015-16*</b>	<b>2016-17*</b>
Allocation for employee compensation	46	63	-
Allocation for staff benefits	27	33	-
Allocation of unanticipated costs from supplemental appropriations bill	28	18	-
Section 3.60 pension contribution adjustment	<u>65</u>	<u>16</u>	<u>-</u>
<b>Totals Available</b>	<b>\$5,361</b>	<b>\$5,304</b>	<b>\$5,020</b>
Unexpended balance, estimated savings	<u>-202</u>	<u>-18</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$5,159</b>	<b>\$5,286</b>	<b>\$5,020</b>
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation (Headquarters)	\$26,250	\$28,341	\$33,451
Allocation for employee compensation	254	344	-
Allocation for staff benefits	114	206	-
Budget Position Transparency	-	-1,598	-
Expenditure by Category Redistribution	-	1,598	-
Revised expenditure authority per Provision	-	823	-
Section 3.60 pension contribution adjustment	424	143	-
002 Budget Act appropriation	9,903	10,191	10,175
Lease Revenue Debt Service Adjustment	-	-17	-
Section 4.30 lease revenue payment adjustment	-15	-	-
003 Budget Act appropriation (Developmental Centers)	260,659	277,915	291,390
Allocation for employee compensation	2,626	4,727	-
Allocation for staff benefits	1,177	2,514	-
Allocation of unanticipated costs from supplemental appropriations bill	26,221	3,283	-
Budget Position Transparency	-	-2,794	-
Expenditure by Category Redistribution	-	2,794	-
Foster Grandparent Transfer to Local Assistance	-68	-	-
Revised expenditure authority per Provision	-	-823	-
Revised expenditure authority per Provision 3	-	42,537	-
Section 3.60 pension contribution adjustment	4,015	1,296	-
Section 6.10 deferred maintenance adjustment	-	1,600	-
017 Budget Act appropriation	251	251	251
Prior Year Balances Available:			
Chapter 25, Statutes of 2012	<u>1</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$331,812</b>	<b>\$373,331</b>	<b>\$335,267</b>
Unexpended balance, estimated savings	<u>-39</u>	<u>-3,283</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$331,773</b>	<b>\$370,048</b>	<b>\$335,267</b>
<b>0172 Developmental Disabilities Program Development Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation (Headquarters)	\$321	\$349	\$325
Allocation for employee compensation	3	5	-
Allocation for staff benefits	<u>1</u>	<u>3</u>	<u>-</u>
<b>Totals Available</b>	<b>\$325</b>	<b>\$357</b>	<b>\$325</b>
Unexpended balance, estimated savings	<u>-50</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$275</b>	<b>\$357</b>	<b>\$325</b>
<b>0814 California State Lottery Education Fund</b>			
APPROPRIATIONS			
Government Code section 8880.5	\$403	\$367	\$343
Allocation of unanticipated costs from supplemental appropriations bill	-36	-	-

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**4300 Department of Developmental Services - Continued**

<b>1 STATE OPERATIONS</b>	<b>2014-15*</b>	<b>2015-16*</b>	<b>2016-17*</b>
Miscellaneous Adjustment	-	-24	-
<b>TOTALS, EXPENDITURES</b>	<b>\$367</b>	<b>\$343</b>	<b>\$343</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation (Headquarters)	\$2,518	\$2,561	\$2,524
Allocation for employee compensation	30	-	-
Allocation for staff benefits	12	-	-
Past Year Adjustments	-222	-	-
003 Budget Act appropriation (Developmental Centers)	384	285	285
Foster Grandparent Transfer to Local Assistance	-35	-	-
Past Year Adjustments	-182	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,505</b>	<b>\$2,846</b>	<b>\$2,809</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$212,859	\$236,692	\$200,029
<b>TOTALS, EXPENDITURES</b>	<b>\$212,859</b>	<b>\$236,692</b>	<b>\$200,029</b>
<b>3085 Mental Health Services Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation (Headquarters)	\$436	\$471	\$438
Allocation for employee compensation	3	8	-
Allocation for staff benefits	1	3	-
<b>TOTALS, EXPENDITURES</b>	<b>\$440</b>	<b>\$482</b>	<b>\$438</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$553,378</b>	<b>\$616,054</b>	<b>\$544,231</b>
<b>2 LOCAL ASSISTANCE</b>			
<b>0001 General Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,645,629	\$3,135,797	\$3,345,564
Allocation of unanticipated costs from supplemental appropriations bill	143,850	-	-
Regional Center- Operations Caseload Adjustment	-	1,614	-
Regional Center-Purchase of Services Caseload and Utilization Adjustment	-	-1,614	-
Revised expenditure authority per Provision 1	-	-42,537	-
117 Budget Act appropriation	637	637	637
Chapter 23, Statutes of 2015	-	61,554	-
Chapter 3, Statutes of 2016, Second Extraordinary Session	-	-	287,000
Prior Year Balances Available:			
Item 4300-101-0001, Budget Act of 2011 as reappropriated by Chapter 30, Statutes of 2014	12,958	-	-
<b>Totals Available</b>	<b>\$2,803,074</b>	<b>\$3,155,451</b>	<b>\$3,633,201</b>
Unexpended balance, estimated savings	-7,560	-50,052	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,795,514</b>	<b>\$3,105,399</b>	<b>\$3,633,201</b>
<b>0172 Developmental Disabilities Program Development Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$5,808	\$2,733	\$2,537
Allocation of unanticipated costs from supplemental appropriations bill	-3,075	-	-
<b>Totals Available</b>	<b>\$2,733</b>	<b>\$2,733</b>	<b>\$2,537</b>
Unexpended balance, estimated savings	-1,977	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$756</b>	<b>\$2,733</b>	<b>\$2,537</b>

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**4300 Department of Developmental Services - Continued**

<b>2 LOCAL ASSISTANCE</b>	<b>2014-15*</b>	<b>2015-16*</b>	<b>2016-17*</b>
<b>0496 Developmental Disabilities Services Account</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$150	\$150	\$150
<b>Totals Available</b>	<b>\$150</b>	<b>\$150</b>	<b>\$150</b>
Unexpended balance, estimated savings	-150	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$150</b>	<b>\$150</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$52,367	\$51,354	\$51,354
Allocation of unanticipated costs from supplemental appropriations bill	14,840	-	-
Past Year Adjustments	-10	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$67,197</b>	<b>\$51,354</b>	<b>\$51,354</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$2,017,982	\$2,127,766	\$2,414,091
<b>TOTALS, EXPENDITURES</b>	<b>\$2,017,982</b>	<b>\$2,127,766</b>	<b>\$2,414,091</b>
<b>3085 Mental Health Services Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$740	\$740	\$740
<b>TOTALS, EXPENDITURES</b>	<b>\$740</b>	<b>\$740</b>	<b>\$740</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$4,882,189</b>	<b>\$5,288,142</b>	<b>\$6,102,073</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$5,435,567</b>	<b>\$5,904,196</b>	<b>\$6,646,304</b>

**FUND CONDITION STATEMENTS**

	<b>2014-15*</b>	<b>2015-16*</b>	<b>2016-17*</b>
<b>0172 Developmental Disabilities Program Development Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$2,034	\$5,757	\$5,758
Prior Year Adjustments	-738	-	-
Adjusted Beginning Balance	\$1,296	\$5,757	\$5,758
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4144000 Parental Fees	5,490	3,090	2,862
4163000 Investment Income - Surplus Money Investments	3	2	2
Total Revenues, Transfers, and Other Adjustments	\$5,493	\$3,092	\$2,864
Total Resources	\$6,789	\$8,849	\$8,622
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
4300 Department of Developmental Services (State Operations)	276	357	325
4300 Department of Developmental Services (Local Assistance)	756	2,733	2,537
8880 Financial Information System for California (State Operations)	-	1	-
Total Expenditures and Expenditure Adjustments	\$1,032	\$3,091	\$2,862
FUND BALANCE	\$5,757	\$5,758	\$5,760
Reserve for economic uncertainties	5,757	5,758	5,760
<b>0496 Developmental Disabilities Services Account <sup>s</sup></b>			
BEGINNING BALANCE	\$149	\$149	\$149
Adjusted Beginning Balance	\$149	\$149	\$149
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

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**4300 Department of Developmental Services - Continued**

	2014-15*	2015-16*	2016-17*
4172500 Miscellaneous Revenue	-	150	150
Total Revenues, Transfers, and Other Adjustments	-	\$150	\$150
Total Resources	\$149	\$299	\$299
<b>EXPENDITURE AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
4300 Department of Developmental Services (Local Assistance)	-	150	150
Total Expenditures and Expenditure Adjustments	-	\$150	\$150
FUND BALANCE	\$149	\$149	\$149
Reserve for economic uncertainties	149	149	149

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
<b>Baseline Positions</b>	4,997.5	4,637.5	4,637.5	\$350,844	\$336,307	\$336,307
Budget Position Transparency	-	-183.5	-183.5	-	-6,843	-10,692
<b>Salary and Other Adjustments</b>	-916.3	-	-7.0	-61,635	7,795	-12,595
<b>Workload and Administrative Adjustments</b>						
<b>Acute Crisis Units-Sonoma Developmental Center Full Year Adjustment</b>						
Various	-	-	14.4	-	-	650
<b>Allocation of unanticipated costs from supplemental appropriations bill</b>						
Various	-	38.8	-	-	-	-
<b>Developmental Center Closure Activities</b>						
Assoc Pers Analyst	-	-	2.0	-	-	135
Community Program Spec II	-	-	1.0	-	-	62
Dental Consultant I	-	-	2.0	-	-	252
Nurse Consultant III (Spec)	-	-	1.0	-	-	151
Sr Personnel Spec	-	-	1.0	-	-	52
Sys Software Spec II (Tech)	-	-	1.0	-	-	66
<b>Fiscal and Program Research Unit</b>						
Assoc Info Sys Analyst (Spec)	-	-	1.0	-	-	70
Dp Mgr II	-	-	1.0	-	-	95
Research Analyst I	-	-	1.0	-	-	48
Research Mgr II	-	-	1.0	-	-	95
Research Program Spec I	-	-	1.0	-	-	75
Research Program Spec II	-	-	1.0	-	-	78
Staff Info Sys Analyst (Spec)	-	-	1.0	-	-	75
<b>Headquarters Resources to Provide Oversight and Guidance of ABX2 1 Implementation</b>						
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
Community Program Spec III	-	-	1.0	-	-	70
Community Program Spec IV	-	-	1.0	-	-	77
Research Program Spec I	-	-	1.0	-	-	68
Staff Svcs Mgr I	-	-	1.0	-	-	71
<b>Home and Community-Based Services-New Regulations Workload</b>						
Community Program Spec II	-	-	3.0	-	-	186
Community Program Spec III	-	-	1.0	-	-	72

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**4300 Department of Developmental Services - Continued**

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
<b>Increased Vendor Audit Coverage</b>						
Gen Auditor III	-	-	6.0	-	-	403
Supvng Govtl Auditor I	-	-	1.0	-	-	73
<b>Level of Care and Non-Level of Care at Developmental Centers-Staffing Adjustments</b>						
Various	-	-	-129.2	-	-	-5,846
<b>Revised expenditure authority per Provision</b>						
	-	-	-	-	-	-
<b>Sonoma Developmental Center Closure Costs</b>						
Various	-	-	-	-	-	236
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	-	38.8	-83.8	\$-	\$-	-\$2,624
<b>Totals, Adjustments</b>	<u>-916.3</u>	<u>-144.7</u>	<u>-274.3</u>	<u>-\$61,635</u>	<u>\$952</u>	<u>-\$25,911</u>
<b>TOTALS, SALARIES AND WAGES</b>	<u>4,081.2</u>	<u>4,492.8</u>	<u>4,363.2</u>	<u>\$289,209</u>	<u>\$337,259</u>	<u>\$310,396</u>

**INFRASTRUCTURE OVERVIEW**

The Department of Developmental Services is responsible for the operation and maintenance of the facilities under its control, including the three state-owned and operated 24-hour care facilities and their buildings, grounds, and infrastructure. These Developmental Centers are: Fairview (Orange County), Porterville (Tulare County), and Sonoma (Sonoma County); and they comprise approximately 3.6 million gross square feet on 1,646 acres. The facilities are used to aid the Department's mission to provide medical, dental, and nursing care; supervision; active treatment; and education and vocational training for residents with developmental disabilities. The Department also leases one small state-operated community facility but is not responsible for infrastructure or maintenance of this facility.

**SUMMARY OF PROJECTS**

		State Building Program Expenditures	2014-15*	2015-16*	2016-17*
<b>4155 CAPITAL OUTLAY Projects</b>					
0000716	Porterville: Upgrade Fire Alarm System		-	802	6,512
	Preliminary Plans		-	309	-
	Working Drawings		-	493	-
	Construction		-	-	6,512
<b>TOTALS, EXPENDITURES, ALL PROJECTS</b>			<u>\$-</u>	<u>\$802</u>	<u>\$6,512</u>
<b>FUNDING</b>			<b>2014-15*</b>	<b>2015-16*</b>	<b>2016-17*</b>
0001	General Fund		<u>\$-</u>	<u>\$802</u>	<u>\$6,512</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>			<u>\$-</u>	<u>\$802</u>	<u>\$6,512</u>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

3 CAPITAL OUTLAY		2014-15*	2015-16*	2016-17*
0001 General Fund				
APPROPRIATIONS				
301	Budget Act appropriation	-	\$802	\$6,512
<b>TOTALS, EXPENDITURES</b>		<u>\$-</u>	<u>\$802</u>	<u>\$6,512</u>
<b>Total Expenditures, All Funds, (Capital Outlay)</b>		<u>\$0</u>	<u>\$802</u>	<u>\$6,512</u>

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